

Timetable PhD Programme FSW (Version 8 April 2019)

The following timetable shows the steps in the formal procedure leading to the public defence of a PhD dissertation at the Faculty of Social and Behavioural Sciences. It is based on the [Leiden University Doctorate \(PhD\) Regulations 2018](#). The column “Status LUCRIS GSM (Converis)” shows the corresponding status of the PhD project as it can be found in the Graduate School Management module of LUCRIS.

Actions taking place outside LUCRIS are colourd in orange in this overview!

1 Application and admission

When	Who	Action	Status LUCRIS GSM (Converis)
Before the start of the PhD programme	PhD candidate	1a Application and admission procedure for PhD candidates with employee status: The PhD candidate applies for a vacancy for an internally funded PhD position and sends an application letter with curriculum vitae to the correct address before the deadline. When applying for the position, always state the vacancy number. (Internally funded PhD candidates only: proceed to step 3.)	-
	PhD candidate	1b Application procedure for contract PhD candidates, external PhD candidates, and members of staff working on a PhD: The PhD candidate discusses the field of the intended research with the staff member most suited to this area and explores the possibility of a professor (or associate professor) acting as supervisor (overview FSW full professors). The PhD candidate secures sufficient funds to carry out the research and to cover costs of living and coursework, for example by applying for grants/scholarships . Candidates for the CSC-Leiden University joint scholarship programme need to be (conditionally) admitted to the Graduate School before the deadline for the grant application. See CSC scholarship programme . <u>Applicants for Psychology only:</u> before you can be admitted to the Graduate School, you must draw up a Training and Supervision Plan together with your supervisor. For detailed information check the website of the Research Committee of the Institute. <u>Applicants for CA/OS only:</u> before you can be admitted to the Graduate School, you must draw up a research proposal together with your supervisor, which he/she then submits to the Research Director for approval. For more information check the CA/OS PhD Training and Supervision Protocol .	-

	Supervisor	2	The professor (or associate professor) who has been approached to act as supervisor sends the PhD candidate written confirmation of his/her consent or refusal to act as supervisor. The professor (or associate professor) informs the Admission Office of the Graduate School (admissionoffice@FSW.leidenuniv.nl) in writing of his/her consent or refusal.	-
	PhD candidate	3	Once accepted by the proposed supervisor, the PhD candidate contacts the Graduate School Office (GSO) (admissionoffice@FSW.leidenuniv.nl) and is issued with an application account. He/she then fills in an application form in LUCRIS GSM (see LUCRIS GSM 'The applicant's manual'). The request should include certified copies of the certificates which prove that the academic requirements, as referred to in Article 3 of the Regulations , have been met. Prospective PhD candidates whose master's diploma is not certified by DUO (<i>Dienst Uitvoering Onderwijs</i>) (in Dutch) must present the relevant certificates (original version) to the Graduate School Office in person after filling in the application. PhD candidates with a master's degree from Leiden University exempt from this rule. PhD candidates who do not meet the legal prior education requirements apply to the Dean for formal exemption from these requirements. To this end, they must submit Appendix 1, together with the appendices indicated in Article 4.2 of the Regulations , to the Graduate School (admissionoffice@FSW.leidenuniv.nl).	Draft
	Graduate School Office (GSO)	4	The GSO verifies the information registered. The applicant is notified via LUCRIS GSM.	Registered, Application confirmed
	GSO	5	The GSO prepares the PhD admission and checks that the prior degrees meet the educational requirements.	Under registration, For check of diploma by GSO, For completion by GSO
	Academic Director (WD)	6	The Academic Director (WD) (provisionally) approves / rejects the admission.	For approval by Academic Director
	Dean	7	The Dean (provisionally) approves / rejects the admission.	For approval by Dean

	GSO, P&O	8	The GSO uploads the 'statement of admission to the doctorate' and informs P&O about the admission. P&O registers the PhD candidate in SAP. The GSO links the PhD admission to the PhD candidate's SAP personal record and verifies that the PhD type has been classified properly.	For check of registration in SAP, Formalized, Under registration
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2 During the PhD programme

When	Who	Action	Status LUCRIS GSM (Converis)	
Within three months after the start of the contract	Supervisor	9	Within three months of the start of the PhD programme, the supervisor draws up a training and supervision plan (OBP) in consultation with the doctoral candidate. Supervisors are asked to use the OBP format of their Institute.	For upload of OBP by PhD student
	PhD candidate	10	The PhD candidate uploads his/her Training and Supervision Plan in LUCRIS GSM. <i>When finished, click 'Save & Close' and set the status to 'For approval of OBP by supervisor'. Click 'Done' to exit.</i>	
	Supervisor	11	The supervisor approves/rejects the Training and Supervision Plan. <i>Scroll down to 'Training and supervision plan (OBP)' and select the 'Yes' or the 'No' button. When finished, click 'Save & Close' and set the status to 'Ongoing' and click 'Done' to finish the approval.</i> <u>Supervisors in Psychology:</u> Before approval, the Training and Supervision Plan has to be sent to the Research Committee . <u>Supervisors in CA/OS:</u> Before approval, the Training and Supervision Plan has to be sent to the Institute Board (barendregt@fsw.leidenuniv.nl).	
Annually	PhD candidate/ Supervisor	12	Each year, the supervisor plans a Performance and Development Interview (R&O-gesprek) with the PhD candidate. The supervisor reports on these meetings for contract PhD candidates in LUCRIS GSM. For PhD candidates with employee status, these meetings will automatically be registered in SAP and do not have to be registered in LUCRIS GSM. PhD candidates from CA/OS have to write an 8-month paper in addition, summarising their results so far. This paper has to be approved by a reading committee using the assessment form . Fieldwork may not start until this paper has been approved (check also the CA/OS PhD Training and Supervision Protocol).	Ongoing

	PhD candidate	13	Each year, the PhD candidate has a review or monitoring meeting with an independent member of staff and reports on this meeting in LUCRIS GSM. The meetings will be introduced in the near future.	
During the PhD programme	PhD candidate	14	The PhD candidate registers courses on academic activities and transferable skills in LUCRIS GSM. Transferable skills courses that are organised by HRM will automatically be registered in LUCRIS GSM.	
	PhD candidate	15	The PhD candidate writes a dissertation, the nature, content, and scope of which must comply with Article 10, 13-17 of the Regulations .	
	PhD candidate/ GSO	16	When the PhD candidate is ready to proceed to the graduation formalities, he/she requests the start of the graduation formalities in LUCRIS GSM. <i>To do so, open your 'Supervision plan', click 'Save & Close' and change the status to 'Request for start of graduation formalities'. Note: remember to check with your supervisor first!</i> The GSO checks if the PhD candidate meets the requirements to start with the new phase. If so, he/she will be notified.	Request for start of graduation formalities, Start of graduation formalities approved by GSO

3 Dissertation manuscript

When	Who	Action	Status LUCRIS GSM (Converis)	
	PhD candidate	17	The PhD candidate submits the manuscript of the dissertation to the (co-)supervisors for approval.	PhD student sends manuscript to supervisor (<i>status only used if supervisor rejected the earlier version of the manuscript</i>)
As soon as possible after step 17	Supervisor	18	The (co-)supervisors read the manuscript or chapters submitted and may suggest additions and/or alterations after conferring with the PhD candidate and any other persons involved in the PhD track (see Regulations , Art. 7.3f).	
	PhD candidate	19	The PhD candidate incorporates the agreed alterations in the manuscript and submits the manuscript as a whole to the (co-)supervisors for approval.	
Within six weeks after the PhD	Second or co-supervisor	20	The second or co-supervisor submits a written summary assessment of the manuscript to the first supervisor. At CA/OS and Political Science , all supervisors discuss	Approval of manuscript and composition of Doctorate

candidate submitted the manuscript to the supervisor			necessary changes together with the PhD candidate, instead of the second or co-supervisor writing a written summary assessment.	Committee by supervisor
	Supervisor	21	After assessing the scientific content of the submitted manuscript (Regulations , Art. 10.2), the supervisor sends the manuscript to the Graduate School Office (GSO).	
	GSO	22	The GSO offers support to the supervisor by scanning the manuscript via the plagiarism detection tool iThenticate. The outcome is uploaded in LUCRIS.	
	Supervisor	23	The supervisor evaluates the outcome of the plagiarism check in LUCRIS GSM. <i>Go to the 'Manuscript and composition of Doctorate Committee' tab and register that the dissertation has successfully been submitted to the plagiarism detector. When finished, click 'Save & Close'.</i>	
	Dean	24	The Dean can see the outcome of the plagiarism check in LUCRIS GSM.	
	Supervisor	25	If the supervisor has verified that the manuscript contains no form of plagiarism and that it also meets the applicable code of conduct for academic practice in all other respects, he/she confirms approval in LUCRIS GSM. <i>Go to the 'Manuscript and composition of Doctorate Committee' tab, scroll down to 'Approval of manuscript' and select the desired option. When finished, click 'Save & Close'.</i>	
	GSO	26	After the report on the plagiarism check has been uploaded in LUCRIS GSM, the GSO deletes the manuscript which has been sent to the office for scanning.	
As soon as possible after step 25	PhD candidate	27	The PhD candidate submits the propositions (in line with the Regulations , Art. 12) to the (co-)supervisors via e-mail.	

4 Doctorate Committee

When	Who	Action	Status LUCRIS GSM (Converis)
As soon as possible after approval of the manuscript	Supervisor	28 The supervisor submits a proposal for the composition of the Doctorate Committee and the secretary of the committee in LUCRIS GSM. Prior to submitting this proposal, the supervisor ascertains that the persons involved are prepared to act as members of the Doctorate Committee. The committee must meet the requirements as stated in	Approval of manuscript and composition of Doctorate Committee by supervisor

			Article 20 of the Regulations . Go to the 'Manuscript and composition of Doctorate Committee' tab, scroll down and register the members under 'Doctorate Committee members'. When finished, click 'Save & Close' and set the status 'Doctorate Committee to be checked by GSO'.	
	GSO	29	The GSO checks the composition of the Doctorate Committee.	Doctorate Comm. to be checked by GSO
Within 3 weeks after approval of the manuscript	Dean	30	The Dean approves/rejects the composition of the Doctorate Committee in LUCRIS GSM. The supervisor, the Doctorate Committee's Secretary and the beadle are notified via LUCRIS GSM.	For approval of Doctorate Committee by Dean
As soon as the Doctorate Committee is appointed	Supervisor/ PhD candidate	31	The supervisor is responsible for ensuring that the PhD candidate sends a digital version of the dissertation for review to the members of the Doctorate Committee, indicating that paper versions are available on request.	Assessment by Doctorate Committee
Within 6 weeks of receipt of the manuscript	Members Doctorate Committee	32	Each member of the Doctorate Committee may send suggestions for modifications to the Secretary and informs the Secretary in writing whether the manuscript meets the requirements set out in Art. 10.2 of the Regulations and if, in their opinion, the PhD candidate may proceed to the defence of his/her dissertation (Art. 21.1-7 of the Regulations).	
	Doctorate Committee's Secretary	33	The Secretary sends all assessments to the members of the Doctorate Committee (incl. the chair) and ascertains whether it is necessary for the Doctorate Committee to meet. If a meeting is requested, the (co-) supervisors also attend. The decision of the Committee to allow the candidate to defend his/her dissertation is reached by a majority vote (Art. 21 of the Regulations). The Secretary registers the assessment by the Doctorate Committee in LUCRIS GSM and uploads the committee members' appraisals.	
No later than ten weeks before the date of the defence of the dissertation	Supervisor/ Member of the Doctorate Committee/ Doctorate Committee's Secretary	34	If applicable, one of the members of the Doctorate Committee or the supervisor starts the procedure (Appendix 9) to award the doctorate the predicate 'cum laude' (with distinction). The rules relating to the award of a doctorate 'cum laude' are set out in Art. 29 of the Regulations . The Secretary or supervisor registers a cum laude proposal in LUCRIS GSM. The uploaded proposal is not visible to the PhD candidate.	

5 Official admission to the public defence

When	Who	Action	Status LUCRIS GSM (Converis)	
As soon as possible after step 33	Dean	35	The Dean confirms that the PhD candidate may defend his/her dissertation.	For admittance to public defence by Dean
	GSO	36	The Graduate School Office creates and uploads the Appendix 6 letter . The letter is sent to the PhD candidate and the office of the beadle.	Confirmation letter by GSO

6 The dissertation

When	Who	Action	Status LUCRIS GSM (Converis)	
As soon as possible after step 36	PhD candidate	37	<p>The PhD candidate</p> <ul style="list-style-type: none"> - uploads the non-scientific parts of the dissertation and the propositions. <i>Go to 'Graduation formalities', click 'Edit', then click on the tab 'More' and then 'Dissertation'. Fill in the final title of the dissertation and upload the non-scientific parts and the propositions. Click 'Save& Close' and select the status 'Non-scientific parts and propositions to be checked by GSO' and click 'Done'.</i> - completes the 'Application for defence of a PhD (doctoral) thesis' web form (appendix 7). <i>Go to 'Graduation formalities', then click on the tab 'More' and then 'Admission to public defence'. Scroll down to 'Beadle's webform', fill out the application form and click 'Submit'.</i> - contacts the beadle to set a date and hour for the public defence (+31 (0) 71 527 7211). - informs the Graduate School Office (GS-Office@fsw.leidenuniv.nl) about the date for the defence. 	For upload of non-scientific parts and propositions by PhD student
	Beadle	38	The beadle may register the chosen defence date.	
	GSO	39	The GSO checks the non-scientific parts of the dissertation and the propositions.	Non-scientific parts and propositions to be checked by GSO

	Supervisor	40	The supervisor approves/rejects the propositions. <i>Open the tab 'More' in 'Graduation formalities', then click on the tab 'Dissertation', scroll down and click the desired option under 'Approval by supervisor'. Click 'Save & Close', select the status 'For approval of non-scientific parts and propositions by Dean' and click 'Done'.</i>	For approval of propositions by supervisor
	Dean	41	The Dean approves/rejects the non-scientific parts and the propositions.	For approval of non-scientific parts and propositions by Dean
	Beadle	42	The beadle approves/rejects the title page of the dissertation.	Title page to be approved by Beadle.
As soon as possible after step 42 /no later than 3 weeks before the date of the public defence	PhD candidate	43	The PhD candidate submits the final version of the dissertation to the library in digital form. <i>Go to the Library website, print, fill in, and sign the licence agreement and send it to the Library together with the printed copies of your dissertation (see also step 47).</i> The digital version of the dissertation will be included in the Institutional Repository of Leiden University.	For composition of Opposition Committee by supervisor

7 The Opposition Committee

When	Who	Action	Status LUCRIS GSM (Converis)	
As soon as possible after step 42	Supervisor	44	The supervisor composes the Opposition Committee. <i>Go to 'Graduation formalities', click 'Edit', then click on the tab 'More' and then 'Opposition Committee'. When finished, click 'Save & Close', select the status 'Opposition Committee to be checked by GSO' and click 'Done'.</i>	For composition of Opposition Committee by supervisor
	GSO	45	The GSO checks the composition of the Opposition Committee.	Opposition Committee to be checked by GSO
	Dean	46	The Dean approves/rejects the composition of the Opposition Committee.	For approval of Opposition Committee by Dean
No later than 3 weeks	PhD candidate	47	The PhD candidate submits one copy of the dissertation to the GSO for internal exhibition and sends copies to all	

before the date of the public defence			<p>members of the Opposition Committee (except the chair). Additionally, the PhD candidate submits 10 copies of the dissertation with the propositions enclosed on a separate sheet of paper to the beadle's office and provides 4 copies for the University Library:</p> <p>Leiden University Libraries MDA Department Van Steenis Building P.O. Box 169 2300 AD Leiden The Netherlands Visitor address: Einsteinweg 2, 2333 CC Leiden</p>	
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8 The public defence

When	Who	Action		Status LUCRIS GSM (Converis)
Public defence	PhD candidate/ Supervisor/ Opposition Committee	48	The PhD candidate defends the dissertation in public in the presence of the Opposition Committee. The Opposition Committee decides on the award of the doctorate (Regulations , Art. 26).	Hora est.
	Beadle	49	The beadle registers the outcome of the defence.	
	-		50	The PhD research is formalised.