

On Matches and Mismatches between Syntactic Clause and Intonational Phrase boundaries

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Prosodic Hierarchy of Japanese

Terminology

AM theory / ToBI		Minor/Major Phrase		Syntax–Prosody Mapping
Pierrehumbert & Beckman	L-ToBI, X-ToBI	McCawley, Poser, Kubozono	Kawahara & Shinya	Ito & Mester, Selkirk, this chapter
Utterance	Intonational Phrase	(not discussed)	Utterance	I_{max}
			Intonational Phrase	PClause or Intonational Phrase (i)
Intermediate Phrase		Major Phrase	Major Phrase	Phonological Phrase (p)
Accentual Phrase	Accentual Phrase	Minor Phrase	Minor Phrase	Φ_{min}

(Ishihara 2015: 570)

Prosodic Hierarchy of Japanese

Terminology

What earlier analyses of Japanese have in common:

- Two levels (below Utterance)
 - accentual phrase < intermediate phrase (< utterance) (Pierrehumbert and Beckman 1988)
 - accentual phrase < intonation phrase (Venditti 2005; Maekawa et al. 2002; Venditti et al. 2008)
 - minor phrase < major phrase (McCawley 1968; Poser 1984; Kubozono 1993)
- No level corresponding to intonational phrase proposed for English and other languages. (e.g., Beckman and Pierrehumbert 1986)

Prosodic Hierarchy of Japanese

Recent findings/proposals

Kawahara and Shinya (2008):

- A prosodic level larger than the major phrase and smaller than the utterance—intonation phrase
- minor phrase < major phrase < intonational phrase < utterance

Itô and Mester (2007, 2012, 2013)

- Unification of minor and major phrase—PPhrase
 - Minor phrase as a subcategory of PPhrase
- A level above PPhrase—PClause (ι)
- Cross-linguistically universal prosodic hierarchy: PWord (ω) < PPhrase (φ) < PClause (ι)

Clauses at the Syntax–Prosody Interface

Syntax–Prosody Mapping Hypothesis (Itô and Mester 2007, 2012, 2013; Selkirk 2011)

Syntax	Prosody
Clause	PClause (a.k.a. intonational phrase, i) { ... } _{i}
Phrase	PPhrase (φ) (...) _{φ}
Word	PWord (ω) ω

Clauses at the Syntax–Prosody Interface

Match Theory (Selkirk 2011)

- (1) Syntax–Prosody Mapping [S–P faithfulness]
 - a. [Clause ...] \Rightarrow { ... } _{i} (PClause)
 - b. [Phrase ...] \Rightarrow (...) _{φ} (PPhrase)
 - c. word \Rightarrow ω (PWord)
- (2) Prosody–Syntax Mapping [P–S faithfulness]
 - a. [Clause ...] \Leftarrow { ... } _{i} (PClause)
 - b. [Phrase ...] \Leftarrow (...) _{φ} (PPhrase)
 - c. word \Leftarrow ω (PWord)

- ▶ Mismatches between syntactic and prosodic constituents are explained by *Prosodic Wellformedness Constraints (PWCs)*.

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Clauses at the Syntax–Prosody Interface

However,

- ▶ Kawahara and Shinya (2008) only examined coordinated matrix clauses.
 - ▶ [Clause1][Clause2][Clause3]
- ▶ Selkirk (2011): Two notions of clauses
 - ▶ Standard clause—“the constituent that is the complement of the functional head Comp”¹⁷
 - ▶ Illocutionary clause—“the highest syntactic projection of the sentence and carries illocutionary force”¹⁷
 - ▶ Only the latter seems to show the correspondence to the PClause universally.
- ▶ Question: Prosody of embedded clauses
 - ▶ Are embedded clauses mapped to PClauses?
If so, what are their phonetic cues?
 - ▶ What kind of theoretical implications do PClauses have regarding the syntax–prosody mapping?
 - ▶ Is there any interaction between PClauses and word order within each syntactic clause?

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Summary and Goals

- ▶ Prosodic Hierarchy
 - ▶ New level—P**Clause**
- ▶ Syntax–Prosody Mapping
 - ▶ Syntax–Prosody vs. Prosody–Syntax Mapping
- ▶ Question
 - ▶ Prosody of embedded clause
- ▶ Goals
 - ▶ Phonetic evidence of PClauses
 - ▶ Production experiment
 - ▶ Syntactic evidence of PClauses
 - ▶ Clause-mate Condition (Ishihara 2012, 2013)

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The Clause-mate Condition (CMC)

Multiple clefts

Japanese allows clefts with multiple focus XPs.

- (3) [Naoya-ga Mari-ni ringo-o mit-tu ageta]
 N.-NOM M.-DAT apple-ACC 3-CL gave
 'Naoya gave Mari 3 apples.'
- (4) [Naoya-ga e_i ageta no]-wa Mari-ni_i ringo-o_j mit-tu_j da.
 N.-NOM gave C-TOP M.-DAT apple-ACC 3-CL COP
 '(Lit.) It was three apples to Mari that Naoya gave.' (Cleft)

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The Clause-mate Condition (CMC)

Multiple clefts

The Clause-mate Condition (CMC):

Multiple foci in clefts must originate from the same clause (Koizumi 1995).

- (5) [Mari-ga Emiri-ni [e_i e_j tabeta to] iituketa no]-wa Naoya-ga_i
 M.-NOM E.-DAT ate C told C-TOP N.-NOM
 ringo-o_j da.
 apple-ACC COP
 '(Lit.) It was Naoya, an apple that Mari told to Emiri that ate.'
- (6) *[Mari-ga e_i [Naoya-ga e_j tabeta to] iituketa no]-wa Emiri-ni_i
 M.-NOM N.-NOM ate C told C-TOP E.-DAT
 ringo-o_j da.
 apple-ACC COP
 '(Lit.) It was to Emiri, an apple that Mari told that Naoya ate.'

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The Clause-mate Condition (CMC)

Multiple clefts

If multiple foci in clefts are *Wh*-phrases, or what is being asked in a Yes/No-question, the sentences are exempt from the CMC. (Hiraiwa and Ishihara 2002, 2012)

- (7) [Naoya-ga t_i [Mari-ga t_j nonda to] iituketa no]-wa
 N.-NOM M.-NOM drank C told C-TOP
 dare-ni_i nani-o_j na no (desu ka)?
 who-DAT what-ACC COP C COP Q
 '(Lit.) To whom_i, what_j is it that Naoya told t_i that Mari drank t_j ?' (Wh-question)
- (8) [Naoya-ga t_i [Mari-ga t_j nonda to] iituketa no]-wa
 N.-NOM M.-NOM drank C told C-TOP
 Yumi-ni_i wain-o_j na no (desu ka)?
 Y.-DAT wine-ACC COP C COP Q
 '(Lit.) Is it to Yumi_i, wine_j that Naoya told t_i that Mari drank t_j ?' (Yes/No-question)

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The Clause-mate Condition (CMC)

Multiple Sluicing

Sluicing with multiple foci, which also involves a (multiple) *Wh*-question, does not show the CMC effect. (Nishigauchi 1998)

- (9) Mary-wa takusan-no hito-ni [Bill-ga nanika-o
 Mary-TOP many person-DAT Bill-NOM something-ACC
 nusunda to] itta kedo, ...
 stole C said but
 boku-wa [dare-ni nani-o ka] oboeteinai.
 I-TOP who-DAT what-ACC Q remember-NEG
 '(Lit.) Mary told many people that Bill had stolen something,
 but I don't remember to whom what.'
- (Nishigauchi 1998: 135–136)

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The Clause-mate Condition (CMC)

Multiple long-distance scrambling

Multiple long-distance (LD) scrambling (LD) scrambling also obeys the CMC.
(Hiraiwa and Ishihara 2002)

- (10) [CP Naoya-ga Emiri-ni [CP Mari-ga nomiya-de wain-o
N.-NOM E.-DAT M.-NOM bar-LOC wine-ACC
nonda to] iituketa]
drank C told
'Naoya told Emiri that Mari drank wine at the bar.'
- (11) *?Emiri-ni wain-o_j [CP Naoya-ga t_i [CP Mari-ga nomiya-de
E.-DAT wine-ACC N.-NOM M.-NOM bar-LOC
t_j nonda to] iituketa]
drank C told
Lit. 'To Emiri_i, wine_j, Naoya told t_i that Mari drank t_j.'

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The Clause-mate Condition (CMC)

Multiple long-distance scrambling

This CMC effect disappears in *Wh*- and Yes/No-questions:

- (12) ?dare-ni nani-o_j [CP Naoya-ga t_i [CP Mari-ga nomiya-de
who-DAT what-ACC N.-NOM M.-NOM bar-LOC
t_j nonda to] iituketa no] ?
drank C told Q
Lit. 'To whom, what did Naoya tell that Mari drank?'
- (13) ?Emiri-ni wain-o_j [CP Naoya-ga t_i [CP Mari-ga nomiya-de
E.-DAT wine-ACC N.-NOM M.-NOM bar-LOC
t_j nonda to] iituketa no] ?
drank C told Q
Lit. 'To Emiri, wine, did Naoya tell that Mari drank?'

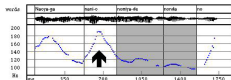
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Relevance of Prosody

Obligatory focus prosody in questions

Both *Wh*- and Yes/No-questions are always accompanied by a **focus prosody**. (Maekawa 1991; Deguchi and Kitagawa 2002; Ishihara 2003, in press)

- (14) Focus prosody
a. Focal F₀-rise (arrow)
b. Post-focal reduction (shade)



(Wh-question)

[CP ... WH/FOC ... no] ?

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Relevance of Prosody

Multiple Clefts in *Wh*- and Yes/No-Question

Interrogative multiple clefts also exhibit a focus prosody.

- (15) [Naoya-ga t_i [Mari-ga t_j nonda to] iituketa no]-wa
N.-NOM M.-NOM drank C told C-TOP
[DARE-ni] [NANI-o_j] na no (desu ka) ?
who-DAT what-ACC COP C COP Q
'(Lit.) To whom_i what_j is it that Naoya told t_i that Mari drank t_j?'
- (16) [Naoya-ga t_i [Mari-ga t_j nonda to] iituketa no]-wa
N.-NOM M.-NOM drank C told C-TOP
[EMIRI-ni] [WAIN-o_j] na no (desu ka) ?
E.-DAT wine-ACC COP C COP Q
'(Lit.) Is it to Emiri_i wine_j that Naoya told t_i that Mari drank t_j?'

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Relevance of Prosody

Multiple LD-scrambling

Some researchers reported that multiple (clausemate) LD-scrambling is slightly degraded. (Saito 1985; Koizumi 2000)

- (17) [CP Naoya-ga Emiri-ni [CP Mari-ga **nomiya-de wain-o**
N.-NOM E.-DAT M.-NOM bar-LOC wine-ACC
nonda to] iituketa]
drank c told
'Naoya told Emiri that Mari drank wine at the bar.'
- (18) ??**nomiya-de_i wain-o_j** [CP Naoya-ga Emiri-ni [CP Mari-ga *t_i*
bar-LOC wine-ACC N.-NOM E.-DAT M.-NOM
t_j nonda to] iituketa]
drank c told

Relevance of Prosody

Multiple LD-scrambling

Such degraded judgments, however, improve significantly if the scrambled XPs form a *single prosodic constituent*. (Koizumi 2000; Fukui and Sakai 2003; Agbayani et al. 2015)

- (19) {? **nomiya-de_i wain-o_j**} [CP Naoya-ga Emiri-ni [CP Mari-ga
bar-LOC wine-ACC N.-NOM E.-DAT M.-NOM
t_i t_j nonda to] iituketa]
drank c told

'Prosodic constituent':

- ▶ Koizumi (2000): intonational phrase (*i*)
- ▶ Agbayani et al. (2015): (recursive) phonological phrase (φ)
- ▶ This talk: PClause (*i*)

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Multiple Clefts: Summary

- ▶ The CMC in Japanese
 - ▶ Multiple clefts
 - ▶ Multiple sluicing
 - ▶ Multiple long-distance scrambling
 - ▶ CMC Obviation: The CMC effect disappears in questions.
- ▶ Relevance of Prosody
 - ▶ Interrogatives obligatorily exhibit a focus prosody.
 - ▶ LD-scrambled elements are included in a single PClause.

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Implicit Prosody Hypothesis (IPH)

The Implicit Prosody Hypothesis (IPH) (Fodor 1998, 2002)

- ▶ In silent reading, an abstract prosodic structure of the sentence is projected in the grammatical representation.
- ▶ This "implicit prosody" may influence syntactic ambiguity resolution.
- ▶ All other things being equal, the parser favors the syntactic analysis associated with the most natural (default) prosodic contour for the construction.
- ▶ If this hypothesis is on the right track, it would mean:
 - ▶ that syntactic acceptability judgement of a sentence *always* involves projection of an abstract prosodic structure of the sentence; and
 - ▶ that its influence on acceptability is expected even without an actual phonetic output of the sentence.

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

In production, clause boundaries are mapped onto prosody according to the (clause-level) syntax-prosody mapping principle.

(20) Syntax-Prosody Mapping (Clause-level)

(Kawahara and Shinya 2008; Selkirk 2009):

The left/right edge of a syntactic 'clause' coincides with the left/right edge of a PClause (ι).

$$[\text{CP} \dots] \implies \{\iota \dots\}$$

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

In production, clause boundaries are mapped onto prosody according to the (clause-level) syntax-prosody mapping principle.

(21) Naoya-ga Emiri-ni Mari-ga wain-o katta to itta N-NOM E-DAT M-NOM wine-ACC bought C told

a. High-attachment ('told Emiri')

[CP Naoya-ga Emiri-ni [CP Mari-ga wain-o katta to] itta]
 $\{\iota$ ι

'Naoya told Emiri that [Mari bought wine].'

b. Low-attachment ('bought (wine) for Emiri')

[CP Naoya-ga [CP Emiri-ni, Mari-ga t_i wain-o katta to] itta]
 $\{\iota$ ι

'Naoya told that [Mari bought wine for Emiri].'

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

I propose that ι -boundaries are also used as cues in parsing, in particular, in parsing the argument structure of clauses.

(22) The Principle of Argument Structure Parsing (PASP):

XPs within a single intonational phrase (ι) are preferably interpreted as clause-mates.

► Prediction:

- a. $\{\iota$ XP YP ... $\}$ \implies clause-mates
- b. XP $\{\iota$ YP ... $\}$ \implies no preference

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

In comprehension of auditory stimuli, ι -boundaries are used as cues for the clausemateness of adjacent XPs.

(21) Naoya-ga Emiri-ni Mari-ga wain-o katta to itta N-NOM E-DAT M-NOM wine-ACC bought C told

a. High-attachment ('told Emiri')

[CP Naoya-ga Emiri-ni [CP Mari-ga wain-o katta to] itta]
 $\{\iota$ ι

'Naoya told Emiri that [Mari bought wine].'

b. Low-attachment ('bought (wine) for Emiri')

[CP Naoya-ga [CP Emiri-ni, Mari-ga t_i wain-o katta to] itta]
 $\{\iota$ ι

'Naoya told that [Mari bought wine for Emiri].'

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

In silent reading, however, no auditory cues.

- ▶ According to the IPH, ι -boundaries may be inserted as part of the 'default' prosodic structure, which imposes a bias toward one reading over the other.
- ▶ How do we predict the 'default' prosody?
 - ▶ Empirical questions yet to be investigated

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Principle of Argument Structure Parsing (PASP)

Clause-level: ι -boundaries as cues for the clausemateness of adjacent XPs

Potential cues for ι -boundary insertion as part of "default prosody":

- In front of a focused phrase
 - ▶ ... \mathbf{XP}_{FOC} ... \Rightarrow ... $\{, \mathbf{XP}_{\text{FOC}}$...
- After a (sentential) topic phrase
 - ▶ [CP ...]- wa ... \Rightarrow ...- wa $\{, \dots$
- In front of a nominative subject
 - ▶ [CP Naoya-ga [CP Emiri-ga ... \Rightarrow $\{, \text{Naoya-ga}$ $\{, \text{Emiri-ga}$

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Principle of Argument Structure Parsing (PASP)

Applying the Mapping Principles and the PASP

If we apply the syntax-prosody mapping principles to a multiple cleft in (23), we obtain the prosodic structure in (24).

- (23) [_{TopP}[_{CP} Naoya-ga Emiri-ni [_{CP} Mari-ga t_i t_j tabeta to] N-NOM E-DAT M-NOM drank C
iituketa no]_{k-wa} [_{CP} nomiya-de_i wain-o_j t_k da]]
told C -TOP bar-LOC wine-ACC COP
Lit. 'It is wine at the bar that Naoya told Emiri that Mari drank.'

- (24) $\{, (\varphi$ Naoya-ga $(\varphi$ Emiri-ni $\{, (\varphi$ Mari-ga nonda to iituketa no-wa $\{, (\varphi$ nomiya-de $(\varphi$ wain-o da

The PASP will impose a parsing preference to the reading in which multiple foci are clause-mates.

Preference: *nomiya-de* and *wain-o* are clause-mates.
 \Rightarrow Compatible with the intended reading

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Principle of Argument Structure Parsing (PASP)

Applying the Mapping Principles and the PASP

Note that a multiple cleft sentence *violating the CMC*, as in (25), would end up with a similar ι -phrasing, as in (26).

- (25) * [_{TopP}[_{CP} Naoya-ga t_i [_{CP} Mari-ga nomiya-de t_j nonda to] N-NOM M-NOM bar-LOC drank C
iituketa no]_{k-wa} [_{CP} Emiri-ni_i wain-o_j t_k da]]
told C -TOP E-DAT wine-ACC COP
Lit. 'It is Emiri_i wine_j that Naoya told t_i that Mari drank t_i at the bar.'

- (26) $\{, (\varphi$ Naoya-ga $\{, (\varphi$ Mari-ga $(\varphi$ nomiya-de nonda to iituketa no-wa $\{, (\varphi$ Emiri-ni $(\varphi$ wain-o da

If we apply the PASP to this phrasing, however, the multiple foci will be *wrongly* interpreted as clause-mates.

Preference: *Emiri-ni* and *wain-o* are clause-mates.
 \Rightarrow *Incompatible* with the intended reading \Rightarrow **Parsing difficulty!**

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Principle of Argument Structure Parsing (PASP)

Wh- and Yes/No-multiple clefts

Focus prosody in *Wh-* and Yes/No-multiple cleft *obligatorily* induces additional F_0 -rises on the multiple foci, making the sentence look as if there is an ι -boundary between the two foci.

- (27) [_{TopP} [_{CP} Naoya-ga t_i [_{Mari-ga} t_j nonda to] iituketa no]-wa
N.-NOM M.-NOM drank C told C-TOP

DARE-ni_i NANI-o_j na no (*desu ka*) ?

who-DAT what-ACC COP C COP Q

'(Lit.) To whom_i what_j is it that Naoya told t_i that Mari drank t_j ?'

- (28) $\{_{i(\varphi)}$ Naoya-ga $\{_{i(\varphi)}$ Mari-ga nonda to iituketa no-wa
 $\{_{i(\varphi)}$ DARE-ni $\{_{i(\varphi)}$ NANI-o na no (*desu ka*) ?

► The PASP **does not** apply to (28), i.e., No CMC.

⇒ *DARE-ni* and *NANI-o* may or may not be clause-mates.

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Principle of Argument Structure Parsing (PASP)

Nominative subjects

Since nominative subjects appear at the beginning of the clause in the unmarked word order in Japanese, it is plausible that readers tend to project an ι -boundary (in the implicit prosody) in front of each nominative subject.

► An ι -boundary in front of a nominative subject

► [_{CP} Naoya-ga [_{CP} Mari-ga ...
⇒ $\{_{i}$ Naoya-ga $\{_{i}$ Mari-ga

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Principle of Argument Structure Parsing (PASP)

Multiple LD-scrambling

Multiple LD-scrambling obeys the CMC.

- (29) *?Emiri-ni wain-o [_{CP} Naoya-ga t_i [_{CP} Mari-ga nomiya-de t_j
E.-DAT wine-ACC N.-NOM M.-NOM bar-LOC

nonda to] iituketa]
drank C told

'Naoya told Emiri that Mari drank wine.'

- (30) $\{_{i(\varphi)}$ Emiri-ni $\{_{i(\varphi)}$ wain-o $\{_{i(\varphi)}$ Naoya-ga $\{_{i(\varphi)}$ Mari-ga nonda to
iituketa

- Scrambled non-clausemate XPs are interpreted as clausemates, according to the PASP. ⇒ The CMC effect
- An additional ι -boundary between *Emiri-ni* and *wain-o* may improve the reading (though still not optimal, as the inserted ι -boundary does not correspond to a syntactic clause boundary).

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Principle of Argument Structure Parsing (PASP)

The CMC Obviation in Questions

The CMC effect disappears in questions due to this obligatory focus prosody.

- (31) ?dare-ni nani-o [_{CP} Naoya-ga t_i [_{CP} Mari-ga nomiya-de
who-DAT what-ACC N.-NOM M.-NOM bar-LOC

t_j nonda to] iituketa no] ?
drank C told Q

Lit. 'To whom, what did Naoya tell that Mari drank?'

$\{_{i}$ dare-ni $\{_{i}$ nani-o $\{_{i}$ Naoya-ga ...

'Naoya told Emiri that [Mari bought wine].'

- Wh-phrases are obligatorily focused in Japanese, and inserts an ι -boundary in (31).
 - The PASP fails to apply, allowing the non-clausemate interpretation.

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Summary

The CMC (Obviation)

- ▶ Multiple clefts
- ▶ Multiple sluicing
- ▶ Multiple LD-scrambling
- ▶ Interrogatives are exempt from the CMC.

The Prosodic Account

- ▶ Implicit Prosody Hypothesis
 - ▶ Implicit prosody affects syntactic acceptability judgements.
- ▶ Syntax-prosody mapping
 - ▶ No *i*-boundary between multiple foci in multiple cleft foci / LD-scrambled XPs
- ▶ Principle of Argument Structure Parsing (PASP)
 - ▶ Multiple cleft foci / LD-scrambled XPs are interpreted as clause-mates. \implies The CMC effect
- ▶ CMC obviation caused by additional prosodic effects overriding the default prosody.
 - ▶ Focus prosody in interrogatives

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Questions

1. Are embedded clauses mapped to PClauses? If so, what are their phonetic cues?
2. What kind of theoretical implications do PClauses have regarding the syntax-prosody mapping?
3. Is there any interaction between PClauses and word order within each syntactic clause?

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Stimuli

- (32) **Matrix, Nominative-Dative-Accusative**
Náoya-ga Yúmiko-ni nímotu-o okurímásita
N.-NOM Y.-DAT package-ACC sent
'Naoya sent Yumiko a package.'
- (33) **Matrix, Dative-Nominative-Accusative**
Yúmiko-ni Náoya-ga t_i nímotu-o okurímásita
Y.-DAT N.-NOM package-ACC sent

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Stimuli

- (34) **Embedded, Nominative-Dative-Accusative**
Yúuzi-ga [Náoya-ga Yúmiko-ni nímotu-o okutta to]
Y.-NOM N.-NOM Y.-DAT package-ACC sent C
omótteiru yóodesu
think seem
'It seems Yuji think that Naoya sent Yumiko a package.'
- (35) **Embedded, Dative-Nominative-Accusative**
Yúuzi-ga [Yúmiko-ni Náoya-ga nímotu-o okutta to]
Y.-NOM Y.-DAT N.-NOM package-ACC sent C
omótteiru yóodesu
think seem

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Results

► Stimuli

Word1-Prt | Word2-Prt Word3-Prt Word4-Prt V_{emb} Comp | V_{mat}

► Normalization (duration)

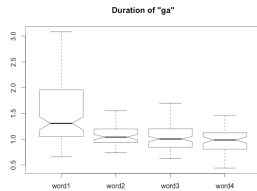
- Reference = (Mean of Word2) / 3

► Measurements: Duration of particles/complementizers

- Between Word1 and Word2 (i.e., Word1 particle)
- Between Comp and V_{mat} (i.e., Comp)

Results

ga in different sentence positions

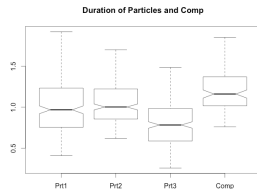


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Results

Particles (*ga/ni/o*) vs. Comp (*to*)



Results

Word2 particle

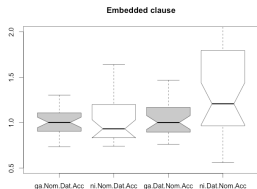


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Results

Word2 particle



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Results

Summary

- ▶ **Clause boundaries**
 - ▶ Left edge: Longer duration of Word1 (matrix subject) particles
 - ▶ Right edge: Longer duration of Comp
- ▶ **Word order**
 - ▶ Longer duration of particle before embedded clause nominative subject

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Summary

- ▶ **The PClause**
 - ▶ Recent literature suggests the presence of this category in the prosodic hierarchy and its role in the syntax-prosody mapping.
 - ▶ Its presence and effects are not fully tested for embedded clauses.
- ▶ **The Clause-mate Condition (CMC)**
 - ▶ The Principle of Argument Structure Parsing (PASP) regulates the parsing of “clausalness” of adjacent XPs, according to the (implicit) prosodic structure.
 - ▶ Additional prosodic factor (such as focus) may override the (implicit) prosody.
- ▶ **Experiment**
 - ▶ Embedded clauses mapped to PClause
 - ▶ Embedded clause word order affects the prosodic realization

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Theoretical Implications

If the IPH is on the right track:

- ▶ Similar prosodic effects in other syntactic configurations
- ▶ Typological variation according to prosodic typology
- ▶ Possibility of reanalysis:
 - ▶ When the parsing based on the ‘default’ prosody is unsuccessful, **prosodic reanalysis** may take place.
 - ▶ If the alternative prosodic structure matches with the intended reading, the sentence is perceived as acceptable (but as a dispreferred reading).
 - ▶ If there is no alternative prosodic structure that matches with the intended reading, the sentence will be judged as unacceptable.

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Theoretical Implications

If the IPH is on the right track:

- ▶ Purely phonological factors affects acceptability:
 - ▶ Rhythmic effects
 - ▶ Length effects
- ▶ Gradient effects:
 - ▶ Since prosodic patterns tend to show inter- and intra-speaker variation, their influence on the syntactic parsing are also expected to be variable.

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Prosodic Reanalysis

Syntactically ambiguous structure

In a syntactically ambiguous sentence like (36), we would expect the following phrasing shown in (36a).

(36) Naoya-ga Emiri-ni Mari-ga wain-o nonda to itta
 N-NOM E-DAT M-NOM wine-ACC drank C told

a. $\left\{ \begin{array}{l} \text{[CP Naoya-ga Emiri-ni [CP Mari-ga wain-o katta to] itta]} \\ \text{[CP } \quad \quad \quad \text{[CP} } \end{array} \right.$
 \Rightarrow High-attachment (‘told Emiri’)

- ▶ The PASP predicts that the high-attachment reading (‘Naoya told Emiri that [Mari bought wine].’) is the preferred reading.

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Prosodic Reanalysis

Syntactically ambiguous structure

The other phrasing pattern, (36b), is not expected from the default implicit prosody.

(36) Naoya-ga Emiri-ni Mari-ga wain-o nonda to itta
 N-NOM E-DAT M-NOM wine-ACC drank C told

b. $\left\{ \begin{array}{l} \text{[CP Naoya-ga [CP Emiri-ni Mari-ga } t_i \text{ wain-o katta to] itta]} \\ \text{[CP } \quad \quad \quad \text{[CP} } \end{array} \right.$
 $?$ Low-attachment (‘bought (wine) for Emiri’)

- ▶ As a result, the low-attachment reading (‘Naoya told that [Mari bought wine for Emiri].’), which is compatible with the phrasing in (36b), is predicted to be less preferred in silent reading.

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Prosodic Reanalysis

Syntactically ambiguous structure

The other phrasing pattern, (36b), is not expected from the default implicit prosody.

(36) Naoya-ga Emiri-ni Mari-ga wain-o nonda to itta
N.-NOM E.-DAT M.-NOM wine-ACC drank C told

b. $\{i$ Naoya-ga $\{i$ Emiri-ni $\}i$ Mari-ga t_i wain-o katta to $\}i$ itta
[CP [CP
? Low-attachment ('bought (wine) for Emiri')

- Note, however, that this difference in preference between (35a) and (35b) seems rather subtle, much weaker than the CMC effect.
- This is because of the availability of reanalysis.
 - Since both phrasing patterns have corresponding syntactic structures, they will never be judged as ungrammatical.

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Prosodic Reanalysis

Syntactically ambiguous structure

(36) Naoya-ga Emiri-ni Mari-ga wain-o nonda to itta
N.-NOM E.-DAT M.-NOM wine-ACC drank C told

a. $\{i$ Naoya-ga Emiri-ni $\{i$ Mari-ga wain-o katta to $\}i$ itta
[CP [CP
 \implies High-attachment ('told Emiri')

b. $\{i$ Naoya-ga $\{i$ Emiri-ni $\}i$ Mari-ga t_i wain-o katta to $\}i$ itta
[CP [CP
? Low-attachment ('bought (wine) for Emiri')

- After reanalysis of the implicit prosody, the less preferred reading may have a matching prosodic structure.
- If there were no alternative prosodic structure available that corresponds to the intended reading, the sentence would be judged unacceptable (or much more degraded).

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More on LD-scrambling

Ban on LD-scrambling to a clause-medial position

LD-scrambling cannot target a clause-medial position.

(37) [CP Naoya-ga Emiri-ni [CP Mari-ga wain-o nonda to] iituketa]
N.-NOM E.-DAT M.-NOM wine-ACC drank C told
'Naoya told Emiri that Mari drank wine.'

(38) wain-o $_i$ [CP Naoya-ga Emiri-ni [CP Mari-ga t_i nonda to] iituketa]
wine-ACC N.-NOM E.-DAT M.-NOM drank C told

(39) *[CP Naoya-ga wain-o $_i$ Emiri-ni [CP Mari-ga t_i nonda to] iituketa]
N.-NOM wine-ACC E.-DAT M.-NOM drank C told

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More on LD-scrambling

Ban on LD-scrambling to a clause-medial position

LD-scrambling cannot target a clause-medial position.

(38) wain-o $_i$ [CP Naoya-ga Emiri-ni [CP Mari-ga t_i nonda to] iituketa]
wine-ACC N.-NOM E.-DAT M.-NOM drank C told

wain-o $\{i$ Naoya-ga Emiri-ni $\{i$ Mari-ga nonda to iituketa

- The PASP does not apply between *wain-o* and *Naoya-ga*.
- A non-clausemate interpretation is compatible with this implicit prosody.

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More on LD-scrambling

Ban on LD-scrambling to a clause-medial position

LD-scrambling cannot target a clause-medial position.

- (39) *_{[CP Naoya-ga wain-o_i Emiri-ni [CP Mari-ga t_i nonda to] N.-NOM wine-ACC E.-DAT M.-NOM drank C ittuketa]}
told
- {_i Naoya-ga wain-o Emiri-ni {_i Mari-ga nonda to ittuketa

- ▶ The PASP applies between *wain-o* and *Naoya-ga/Emiri-ni*.
- ▶ A clausemate interpretation is preferred with this implicit prosody. ⇒ **The CMC effect!**
- ▶ Note that there is no possibility of syntactic reanalysis.

More on LD-scrambling

'Free ride' effects

Certain XPs cannot undergo LD-scrambling. (Saito 1985; Miyagawa 1989)

- (40) **Nominative subjects**
***Mari-ga** [_{CP} Naoya-ga Emiri-ni [_{CP} t_i wain-o nonda to] ittuketa]
M.-NOM N.-NOM E.-DAT wine-ACC drank C told
- (41) **Floating numeral quantifiers (FNQs)**
***3-tsu** [_{CP} Naoya-ga [_{CP} Mari-ga Emiri-ni ringo-o t_i ageta to] 3-CL N.-NOM M.-NOM E.-DAT apple-ACC gave C omotteru]
think
Lit. 'three, Naoya thinks that Mari gave apples to Emiri.'
- (42) **'True' adjuncts**
***naze** [_{CP} Naoya-ga [_{CP} Mari-ga Emiri-ni t_i ringo-o ageta to] itta no?] why N.-NOM M.-NOM E.-DAT apple-ACC gave C said Q
'Why_i did Naoya say [that Mari gave Emiri apples t_i]?'

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More on LD-scrambling

'Free ride' effects

The sentence improves when it scrambles with another clausemate XP. (Aghayani et al. 2015)

- (42) ***Mari-ga** [_{CP} Naoya-ga Emiri-ni [_{CP} t_i wain-o nonda to] M.-NOM N.-NOM E.-DAT wine-ACC drank C ittuketa]
told
- (43) **Mari-ga wain-o** [_{CP} Naoya-ga Emiri-ni [_{CP} t_i t_j nonda to] M.-NOM wine-ACC N.-NOM E.-DAT drank C ittuketa]
told

- ▶ This improvement is expected, given that the scrambled subject and its clausemate are immediately interpreted as clausemates, according to the PASP.
- ▶ A potential parsing difficulty between *Emiri-ni* and *nonda*, which can be recovered by insertion of an *t*-boundary.

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